

**GENERAL MEETING OF THE BOARD OF DIRECTORS
OF THE
CENTRAL TEXAS REGIONAL MOBILITY AUTHORITY**

RESOLUTION NO. 19-066

**AUTHORIZING GREAT PACIFIC SECURITIES TO PROVIDE
INVESTMENT SERVICES AND ENGAGE IN INVESTMENT TRANSACTIONS
WITH THE MOBILITY AUTHORITY**

WHEREAS, pursuant to Texas Government Code §2256.025, the Board is required to review and adopt a list of qualified brokers that are authorized to engage in investment transactions with the Mobility Authority; and

WHEREAS, Section 201.011(a) of the Mobility Authority Policy Code (“Policy Code”) provides that financial institutions and qualified brokers authorized to provide investment services and engage in investment transactions with the Mobility Authority shall be approved by a separate resolution adopted by the Board; and

WHEREAS, Section 201.011(b) – (d) of the Policy Code sets forth the requirements to become authorized to provide investment services and engage in investment transactions with the Mobility Authority; and

WHEREAS, by Resolution No. 19-015, dated March 27, 2019, the Board approved a list of firms authorized to provide investment services and engage in investment transactions with the Mobility Authority; and

WHEREAS, on or about September 10, 2019, Great Pacific Securities submitted an application to the Chief Financial Officer to be added to the list of firms authorized to provide investment services and engage in investment transactions with the Mobility Authority; and

WHEREAS, the Executive Director and Chief Financial Officer have reviewed Great Pacific Securities’ application, and have concluded that the firm meets the requirements of Policy Code 201.011 and is qualified to provide such services; and


WHEREAS, the Executive Director and Chief Financial Officer recommend that Great Pacific Securities be added to the Mobility Authority’s list of “Authorized Broker Dealers and Financial Institutions” as shown on Exhibit A hereto.

NOW, THEREFORE, BE IT RESOLVED that Great Pacific Securities is hereby authorized to provide investment services and engage in investment transactions with the Mobility Authority; and

BE IT FURTHER RESOLVED that Board approves the addition of Great Pacific Securities to the Mobility Authority's list of "Authorized Broker Dealers and Financial Institutions" as shown on Exhibit A hereto.


Adopted by the Board of Directors of the Central Texas Regional Mobility Authority on the 20th day of November 2019.

Submitted and reviewed by:



Geoff Petrov, General Counsel

Approved:



Robert W. Jenkins, Jr.
Chair, Board of Directors